
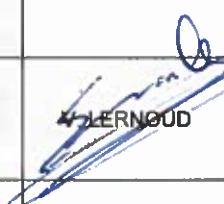
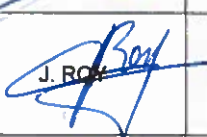


GENERAL PRESCRIPTIONS TO SUPPLIERS

With regard to

**Quality
 Safety
 Environment**

| | | | | | |
|------|---------|--|---|---|--------------------------------------|
| | | | | | |
| M | 07/2020 |  A. CAILLEUX |  V. LERNOUD |  J. ROY | See page 2 |
| L | 12/2013 | B. JAKUBOWSKI | V. BLOMME | B. JAKUBOWSKI | Complete rewrite |
| K | 10/2008 | B. JAKUBOWSKI | V. BLOMME | JM. DIDELOT | New company name added in appendix 7 |
| Rev. | Date | Rédacteur/Written by Nom-Visa/Name- Visa | Vérificateur/Checked by Nom-Visa/Name-Visa | Emetteur/Issued by Nom-Visa/Name-Visa | MODIFICATIONS/ OBSERVATIONS |

Distribution List: ER, EA, EQ

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ISSUE MODIFICATION RECORDS

| | | | | | |
|-------------|-------------|-------------------|--------------------|------------------|--|
| M | 07/2020 | A. CAILLEUX | V. LERNOUD | J.ROY | § 7.4 and 7.5 added + modifications shown by a line in the margin |
| L | 12/2013 | B. JAKUBOWSKI | V.BLOMME | B. JAKUBOWSKI | Complete rewrite of document: body of text and appendices |
| K | 10/2008 | B. JAKUBOWSKI | V.BLOMME | JM. DIDELOT | New company name added in appendix 7 |
| J | 04/05 | B. JAKUBOWSKI | O. CARON | JM. PLANCON | PO numbers and convocation addresses updated 94QPE51C integrated |
| H | 10/00 | B.JAKUBOWSKI | M . HERBEAUX | B.JAKUBOWSKI | More precise indications regarding documents, definitions and type parts given |
| G | 03/97 | B.JAKUBOWSKI | M.HERBEAUX | C.ANQUETIN | Modifié § 6.2.2. Appendix 2 updated Separation of Nuclear Activities "List of expected documents" function |
| F | 11/94 | J.M. BITSCH | B.JAKUBOWSKI | C.ANQUETIN | Appendix on documents transmitted to the supplier added § 6.8, 6.9, 6.10. added |
| E | 02/94 | B.JAKUBOWSKI | M.TARQUINIO | C.ANQUETIN | Explanations and additional information. Separation of Nuclear and Electromechanical activities. |
| D | 01/93 | B.JAKUBOWSKI | M.TARQUINIO | J.GOVIN | Explanation about EDF/SQR operations 10 CFR 21 taken into account Explanations and additional information. |
| C | 02/90 | B.JAKUBOWSKI | M.TARQUINIO | J. GOVIN | Updated Appendix 2 added |
| B | 01/90 | M.TARQUINIO | B.JAKUBOWSKI | B.JAKUBOWSKI | Additional information in § 4.2 Updated Assignee Acronyms |
| A | 02/88 | B.JAKUBOWSKI | M.TARQUINIO | B.JAKUBOWSKI | First issue |
| Rev. | Date | Written by | Reviewed by | Issued by | Modifications |

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1 SUBJECT AND REFERENCES

1.1 Subject

This document specifies the practical conditions governing relations between Jeumont Electric and its Suppliers, with regard to the technical follow-up plan, realization and Quality of an order.

This document is supplemental to the General Terms and Conditions of Purchase, attached with the order form.

For certain orders, this procedure is supplemented by:

- procedure PQE 202 "Prescriptions to suppliers for safety related assemblies or components".
- procedure PQE 200 "Prescriptions to suppliers working in the field".

The order of precedence of the documents is as follows:

- Purchase Order
- General Terms and Conditions of Purchase
- The documents annexed to the purchase order and mentioned in the list of applicable documents,
- This general specification
- Procedure PQE 200, 202, 209 or SQE 79 if applicable

1.2 References

Integrated Management Manual of Jeumont Electric France

1.2.1 Références externes

EDF document EDMSN 130127 issue A SGAQ Edition 2013 (conventional domain)

1.2.2 Internal reference documents

PQE 202 "Prescriptions to suppliers for safety related assemblies or components".

PQE 200 "Prescriptions to suppliers working in the field".

PQE 209 "Prescriptions to suppliers working in our factory"

SQE 79 – "Control of exports of defence and associated equipment under the defence code"

2 DEFINITIONS

2.1 - Supplier (Tier 1):

Individual or legal entity who has received a Purchase Order from Jeumont Electric for the delivery of equipment or provision of services.

2.2 - Subcontractor (Tier 2):

Individual or legal entity to whom/which the Supplier delegates all or part of the performance of the Purchase Order.

2.3 - Supply:

Equipment or services ordered from the supplier by Jeumont Electric

2.4 - Inspector:

Individual in charge of surveillance of the quality of the supply.

2.5 – Type part

First article produced by the supplier and intended for validating the manufacturing and inspection process selected for realizing a series of parts with a given design.

2.6 – Quality Plan

For Jeumont Electric activities, this is a document defining the inspections and tests required for procurement, the notification points of Jeumont Electric and its clients if necessary, and the inspection reports and certificates. It serves as a basis for compiling the conformance file related to the order.

2.7 – Test Report

A Test Report is a record of measurements checked against the acceptance criteria, with an undertaking as to their conformance.

2.8 – Certificate of Conformance

A certificate of conformance is a certificate that the realization is compliant to an identified requirement.

The certificate of conformance with the order is drafted in accordance with standard NF L 00-015 (see appendix 4).

2.9 – Conformance file

The conformance file is a series of documents supporting the compliance of the supply, particularly with regard to Quality Plan compliance.

2.11 – Defect report

Document notifying a supplier of the non-conformance of its supply or of the related documentation. The supply and payment are held until the notified decision is executed.

2.11 – Concession Request

Document issued by the supplier, informing JE that it cannot meet the requirements of JE for the work required of it. This document is issued as soon as this impossibility, such as an impossibility to supply a component as listed in the specified parts list, is identified.

2.12 – Non-conformance report

Document issued by the supplier, notifying JE of the existence of a non-conformity between the equipment and/or the documentation produced and the requirements of JE. This document is a formal record of a realization non-conformance.

2.14 - Punch List - List of reservations

Document drawn up by the inspector on final acceptance at the supplier's. Appended to a defect report, it draws up a list of work remaining to be completed by the supplier to bring its supply into compliance.

2.14 – Inspection report

Document drawn up by the inspector after performing inspections at the supplier's. It reviews the operations performed and the conclusion of the inspection. An assessment is made by the inspector and communicated to the supplier after each incoming goods inspection.

3 GENERAL

The Quality of the realizations of Jeumont Electric mainly depends on the quality and delivery time of the equipment or services purchased. It is necessary for suppliers of Jeumont Electric to adopt and make their prospective subcontractors adopt a way of working that ensures the required quality is achieved in the time allotted.

The needs and requirements of Jeumont Electric concerning the ordered supplies and services are detailed in the Purchase Order and/or in the contract documents listed therein.

This procedure presents the general provisions to be applied in the relations between Jeumont Electric and its suppliers.

For some orders, particular requirements relating to:

- Quality Assurance, the Environment and Safety
- Supplier surveillance,
- Documentation

may be specified. Being referenced in the purchase order or the list of applicable documents (LDA), they have precedence over this document.

4 MANAGEMENT SYSTEMS

Jeumont Electric is certified to reference standards ISO 9001, ISO 14001 & OHSAS 18001.

Jeumont Electric asks its suppliers to adhere to this approach insofar as they are concerned.

If a sub-order from Jeumont Electric requests application of a different quality management system to ISO 9001, this shall be explicitly stated in the purchase order.

A special procedure is required for parts intended for nuclear facilities and classed as safety-related parts: procedure PQE 202 "Prescriptions to Suppliers for safety-related assemblies or components".

5 HANDLING OF PURCHASE ORDERS AND DOCUMENTATION

5.1 Order Processing

5.1.1 Order acceptance (acknowledge of receipt)

Via the order acceptance, the supplier acknowledges that it has received the necessary information from Jeumont Electric for the supply of the equipment involved.

The acknowledgement of receipt of the order or rider to the order must systematically be returned within 7 days of its receipt to our Purchasing department, signed by a person of authority at the Suppliers. Otherwise, the text of the Purchase Order will be considered as accepted by the Supplier and any technical deviation will be the subject of a non-conformance report and handled in accordance with the provisions described in § 6.9.

The deviations shall also be mentioned and described in the acknowledgement of receipt.

5.1.2.Changes to the PO

The Supplier shall be notified of any changes to the documents mentioned in the purchase order such as procurement specifications, drawings, diagrams, etc. by a rider to the purchase order in the course of contract performance.

The Supplier must update the equipment and documentation affected by these changes.

5.1.3.Closure of the PO

The purchase order shall only be effectively closed once the equipment, services and required documentation have been accepted.

5.2 Supply of documents

The documents to be supplied and subject to prior agreement for use, "Valid for execution" are listed in the order form.

These are mainly documents issued at the beginning and during the manufacture of the supply: design, reports, supporting documents, instructions manuals, etc.

Documents stating conformance of the supply are required by the conformance file in the form of the procurement quality plan, purchase order, specification or drawing and are required at the end of realization.

These documents shall be signed and bear the name of the Supplier's **authorized** person.

The rules for managing documents are stated in **appendix 2**

6 ORDER PROCESSING

6.1 Planning

6.1.1. Planning Realization

On receipt of the purchase order, the supplier schedules its realization. Supply of a schedule may be requested in the purchase order or in the course of its realisation.

The follow-up of compliance with lead times is performed by the representative of the Jeumont Electric Purchasing Department. The supplier undertakes to inform Jeumont Electric without delay of any risks affecting the delivery time noted on the PO.

6.1.2. Planning of Surveillance

If specified in the purchase order, technical supervision is conducted by means of a Jeumont Electric Procurement Quality Plan by the inspectors of Jeumont Electric and by the representatives of the customer when needed.

Notification or intervention points are specified (witness and hold points) at the steps where an intervention is required.

Details of this surveillance are indicated in **appendix 6**.

6.2 Sub-orders

When the supplier intends to subcontract certain manufacturing and/or inspection operations (change of production facility specified in the order or other location for inspector witness points), it shall first ask for Jeumont Electric's (Purchasing Department) agreement and then send copies of these subcontract orders (without prices if necessary) to the Purchasing Department.

The Supplier shall notify the sub-contractors of the technical and administrative clauses expressed in the purchase order and especially those clauses concerning documentation, tests and verifications, surveillance, calibration, non-conformities, access..., in so far as the sub-contractors are concerned.

NOTE:

The production facility mentioned in the Purchase Order must correspond to the place where manufacturing, in process inspections and acceptance inspections are performed.

The supplier undertakes to inform Jeumont Electric of any projects which may entail a change in the place of production.

6.3 Qualification and type parts

For some parts, due to their importance with regard to final quality, or needing implementation of particular processes, a type part may need to be made. The processing of the order is the same as for the other orders but needs supplements as follows:

- A Quality plan is specifically developed
- Supporting documentation is required, i.e. drawings, design reports, processes qualifications, technical justifications...
- Specific tests for this part, or realization of samples, test parts, etc. may be required.

Once the type part is accepted, the supplier will manufacture the following parts according to the agreed definition and processes. Any change will be subject to prior agreement.

6.4 Special processes

A special process is a manufacturing process for which the outputs cannot be verified by surveillance or other measures conducted afterwards. Any deficiencies may only appear once the product is in use.

For this reason, these processes require the prior approval of the methods, equipment and personnel used. This approval (qualification of processes and operators) is required in the procurements quality plan.

For other special processes (heat treatment, painting, etc.) those items demonstrating that the process is controlled shall be kept available by the supplier if they are not requested in the quality plan.

6.5 Marking - Identification - Traceability

Marking includes the means implemented to be able to identify immediately and accurately a part or the contents of packaging.

This marking must include the Jeumont Electric PO number, item no. and version. If several parts are delivered under the same order item a serial number shall be added.

The marking method is left to the supplier's initiative as long as it is legible, does not weather with time and does not damage the part. If the marking could be removed by the process, the supplier must transfer it to the part afterwards (other mark or label).

Traceability establishes a link between the part and its corresponding documentation; this traceability must be maintained in order to draw-up the final conformance reports for the equipment, including the equipment ordered from the supplier.

6.6 Protections – Packaging

Packaging includes the means implemented to ensure the protection of the equipment against any deterioration during transportation and storage.

It is requested that metal parts that are neither machined nor painted be sanded to SA grade 2 1/2 to remove any scale.

All machined parts shall be protected against corrosion prior to shipment.

Jeumont Electric leaves it up to the supplier to select the products and means to ensure safe packaging. It shall nevertheless remain the Supplier's responsibility to ensure this protection or conditioning.

6.7 Packing and Shipping

Packing includes the means implemented to ensure the protection of the equipment against transportation and handling risks (impacts, pressure, water infiltration, etc.). It also allows a visual inspection of the packaging and will be marked.

The Supplier must check that the parts are sufficiently protected to avoid any damage to the equipment during transportation.

The temperatures that may be reached during transportation and in very cold or hot weather must be taken into consideration.

With regard to packaging, compliance with decree 98-638 must also be ensured and evidence of this provided (certificate).

Shipping of the equipment to another plant than Jeumont or Champagne must be in accordance with the rules specified in **appendix 5** for the "direct shipment of equipment under the supervision of our suppliers without transiting via our factory".

Where particular requirements have been stipulated, the supplier must comply with them (e.g. SEI Standards)

Particularities for ITAR/EAR regulations

International Traffic in Arms Regulations (ITAR) are US regulations aimed at restricting and monitoring exports of military and defence technology in order to protect the national security of the United States and pursue its foreign policy goals.

Export Administration Regulations (EAR) are a series of US regulations which restrict and monitor exports of dual use items.

Only items that are not subject to ITAR regulations shall be accepted by Jeumont Electric.

6.8 Transportation

The transportation of dangerous goods must be organized according to the regulations in force.

Other goods must be loaded, stowed and transported such that the safety of the personnel in charge of loading, unloading and transporting them is ensured. The means of transport must be in keeping with the nature of the goods.

Jeumont Electric reserves the right to refuse a transporter if it considers that the safety of the personnel instructed to unload the goods is at risk.

The responsibilities are defined in accordance with the Incoterm stipulated in the purchase order.
The rules in force must be followed.

Use of "EUR" pallets is recommended wherever possible.

6.9 Handling of deviations

Several types of deviation can be brought to light.

- "Minor" deviations

If the non-conformance is established according to a requirement specific to the Supplier, but the equipment remains totally in compliance with the documents attached to the order **from all aspects**, it is not necessary to ask Jeumont Electric for written agreement.

Nevertheless, if the non-conformance has an effect on the lead time, the Supplier shall inform Jeumont Electric's Purchasing Department

- "Major" deviations

If non-conformance is established in relation to a requirement contained in the documents attached to the purchase order, or if it is likely to alter the interchangeability, performance or reliability of the product, it will be handled as follows:

If the deviation is detected prior to product realization, that is to say if the Supplier finds that it is impossible to realize the product or service according to the contractual requirements, it must send a concession request to Jeumont Electric. This may be due to the impossibility to procure materials according to requirements, a change to the process in relation to the previous realization, or other reason i.e. any reason known **before** implementation.

If this deviation is found during product realisation, that is to say the Supplier detects a deviation from what is required in the obtained result, it must send a non-conformance report to Jeumont Electric.

This deviation must remain accidental and have no impact on similar materials in the realization process.

The decision will become effective only after a written reply has been received from the Procurement Control department of Jeumont Electric.

It is then up to the Supplier to take all the necessary corrective actions to prevent non-conformities of the same nature from recurring, for this contract and similar contracts in the future.

The non-conformance shall be documented as indicated in **appendix 4**.

6.10 Product changes

6.10.1 Product changes

In case of supplies, generally mass produced, whose delivery is spread over a long period of time if the supplier has to modify his equipment, while respecting compliance with the order, it must inform the Purchasing department of Jeumont Electric of its intention as soon as possible. Failing this, Jeumont Electric may charge the costs incurred by these changes to the supplier.

This is particularly applicable to chemical substances for which the updated MSDS must be supplied.

If the modifications previously accepted by Jeumont Electric mean that the supply (equipment and/or documentation) is no longer in compliance with the purchase order, the Supplier must systematically ask Jeumont Electric for a rider to the order.

If a modification entails any discrepancy between a serial part and type part (see § 6.1), the supplier must first ask Jeumont Electric for its agreement.

6.10.2 Obsolete products

As part of the management of obsolete products, suppliers may be asked to perform a number of actions, such as:

- Define a list of obsolete components
- Conduct a risks analysis on those products used in its supply
- Ask the supplier to indicate the planned end of manufacture date of its components and those procured by it
- Re-design the product in conjunction with Jeumont Electric, using the new component(s)
- Regularly review the list of components and their planned end of life.

6.11 Test specimens and samples

This also includes test coupons from which the test specimens are cut.

The test specimens and samples used for the qualification or control of certain processes shall be unequivocally linked to the material they have been taken from.

If the test specimen or sample is linked to the qualification of a manufacturing process or operator, it must be kept under the conditions specified for this qualification, by the supplier or qualifying body, for at least 5 years from the performance of the tests.

If the test specimen or sample is linked with the inspection of a material ordered by Jeumont Electric (such as a test specimen for a mechanical test), it must be kept by the supplier for at least 5 years from the date the tests are performed. It must be able to be presented or sent (for counter testing in particular) at the request of Jeumont Electric within no longer than one week.

7 GENERAL REQUIREMENTS

7.1 Access

Jeumont Electric has the right to exercise supervision or to appoint someone to supervise the manufacturing process and the inspection of the equipment at the supplier's premises and prospective subcontractors

Likewise, Jeumont Electric has the right to go through the necessary documentation used to ensure that the equipment has been manufactured in conformance with contractual specifications.

These rights are extended to Jeumont Electric's customers (or their representatives).

The Supplier and its possible subcontractors must provide Jeumont Electric 's personnel, customers or representatives with the necessary conditions for successfully carrying out this task.

7.2 Security, the Environment and Social Accountability

7.2.1 Chemicals

Use of certain products (hereinafter referred to as "chemicals") requires the users to know the related precautions. Their characteristics are given in the Materials Safety Data Sheet (MSDS). Therefore, before placing an order for Chemicals, Jeumont Electric will ask the supplier to provide the MSDS for the product to be ordered. Once the MSDS has been approved by Jeumont Electric, the order may be placed and the product accepted by Jeumont Electric. Without a prior MSDS, the product will not be accepted.

Any changes to a MSDS must also be communicated to Jeumont Electric.

For the European market, the supplier must guarantee that the products purchased by Jeumont Electric are in compliance with the provisions of REACH regulations (EC regulations no. 1907/2006) and send proof of such compliance to Jeumont Electric.

7.2.2 Employee health and safety

The supplier must abide by the rules in force in the country where its work is performed.

The supplier must in particular certify whenever necessary the absence of dangerous substances, by writing and issuing:

- Certifying that they do not contain any radionuclides
- Certifying that no dangerous substances are contained in mixes, materials or articles making up the equipment.

7.3 Qualification of personnel

The supplier remains responsible with regard to the qualification of personnel engaged to perform the work in accordance with the rules and requirements of the codes and standards in force.

Proof of the qualification of personnel for the work to be performed may be requested by Jeumont Electric, particularly where special processes are concerned.

7.4 Management of counterfeit or suspect items (CFSI)

The supplier shall have full control of its supply chain such that the intrusion of any counterfeit or suspect items is prevented.

Particular attention must be given to items which go through distributors or wholesalers.

The inspection methods (for equipment and documentation) must include CFSI detection practices. Some examples of these are given in the appendix.

If CFSI are discovered by the supplier, the deviations must be handled as a case of non-conformance. The supplier must notify Jeumont Electric to help detect any already delivered items.

Jeumont Electric and its Clients reserve the right to conduct adversary checks (on the equipment or documentation) wherever there is any doubt as to the veracity of the information provided. The supplier shall in this case be notified in advance to enable these verifications to be carried out.

7.5 Data Protection

No data communicated by Jeumont Electric to a supplier in relation to a purchase order may be communicated to third parties without its permission.

APPENDIX 1 Rules regarding the supply of documents

APPENDIX 1 Rules regarding the quality of documents

Documents must:

- Be clear, legible and reproducible;
- Have a left-hand margin to allow the document to be bound, it generally being integrated into a file compiled by Jeumont Electric;

2 Document transmission

To facilitate the identification and subject of the document sent, the following information must be provided with each document sent:

- 1 Jeumont Electric PO number,
- 2 Identification of the equipment concerned:
 - item and version,
 - quantity of parts or products,

And if known:

- Jeumont Electric's Client's order number
- Reference of concerned contract

If any doubt, ask the Purchasing Department

Documents may be sent by e-mail to authorize dispatch of the equipment. Nevertheless, only receipt of documents by mail will attest that the supplier has fulfilled this contract obligation.

The documentation shall be sent according the following instructions:

| The documentation shall be sent according the following instructions: | | |
|---|---------------|---|
| Documents to be produced | JE Department | Send to |
| Welding data packages* | Quality | controle@jeumontelectric.com |
| Certificates | | |
| Convocations | | |
| Dispatch authorization request | | |
| Concession Request | | |
| Non-conformance report | | |
| Quality Plans* | | |
| Technical Manufacturing Program* | Design | documentation@jeumontelectric.com |
| Product data sheets | | |
| Maintenance / Operating Manual * | | |
| Design reports * | | |
| Realization drawings, diagrams * | | |
| Specifications and procedures * | | |
| Acknowledgement of receipt of PO | Purchasing | Purchaser's e-mail address |
| MSDS and REACH certificates | | |
| Realization schedule | | |
| Invoices | Accounts | Send by post to: Jeumont Electric Comptabilité fournisseurs 367 , rue de l'industrie BP 20109 59572 JEUMONT Cedex |
| * subject to acceptance by Jeumont Electric | | |

3 Document acceptance

Documents returned to the supplier by Jeumont Electric have one of the following statuses:

- **1 Work May Proceed.** The supplier may use this document in order to pursue operations
- **2 Revise and resubmit. Work may proceed subject to incorporation of any remarks.** The supplier may use this document for performing the order, but shall take into account the comments into a new revision of the document.
- **3 Refusal to resubmit.** The supplier cannot use the document as is. A new version must be submitted for approval.
- **4 Approval not required.** This document may be used by the supplier. No approval is required.

This status is indicated by a stamp on the document.

The supply is not accepted until all documents have status 1 or 4.

Jeumont Electric will reply to the supplier within 15 days of receiving the documents (unless otherwise stipulated in the purchase order).

4 Document translation

Documents sent to Jeumont Electric shall be **bilingual, that is to say in** French and English, unless otherwise specified in the Purchase Order. The translation into English will be shown next to the French whenever the document format allows it.

Comments or additional notes supporting figures and appearing on supplied documents (reports, records, statements, drawings, etc...) **must be translated**. This is especially applicable in the case of bilingual forms.

For all documents, the translation shall be checked by a person capable of checking the technical aspect of the document in the translated text.

5 Document storage

The documents certifying the conformance of the equipment to requirements shall be kept for **5 years** from the equipment shipment date.

When requested by Jeumont Electric, it must be possible to send them within 3 days.

APPENDIX 2 Monitoring realisation

1 Notification Points

Technical surveillance by Jeumont Electric is indicated by means of notification points.

Some orders are sent with a Jeumont Electric Procurement Quality Plan stating the requirements in terms of inspection, submission of documents and notification points.

The notification points are shown in the "JE" column of the procurement quality plan. These are either:

Witness points:

This point noted "W" or "C" (for "Convocation" in French) concerns an operation which Jeumont Electric wishes to attend. However, the supplier may proceed with the operation if the inspector has officially waived this convocation or is not present on the agreed date.

Hold points:

This point noted "H" or "A" (for Arrêt in French) concerns an operation that the supplier cannot perform without the presence of the inspector, unless the organization to which the inspector belongs has given prior written authorization.

2 Convocations

The convocation note to be sent to Jeumont Electric at controle@jeumontelectric.com must at least contain the following information (while there are no requirements regarding the format of this note):

1 Jeumont Electric Purchase Order number

2 Identification of the equipment concerned:

- item and version,
- quantity of parts or products,

3 Exact definition of the test (designation of the operation)

The references of the Quality Plan (if any) and of the operation concerned in this Quality Plan.

4 Place where the operation is to take place

5 Handover date and estimated duration of the test,

And if known:

- Jeumont Electric's customer's order number
- Reference of concerned contract

The Supplier sends a notification to the Procurement Inspection Department, with at least **10 calendar days** notice (unless a different period of notice is specified in the quality plan or purchase order). Beyond this time, if owing to certain events the date of the inspection needs to be changed, the supplier is obliged to inform the Procurement Inspection Department of Jeumont Electric within two working days before the date planned initially.

3 Convocation follow-up

The Procurements Inspection department of Jeumont Electric replies to the Supplier with a new date, or indicating its decision to waive the inspection.

Recap:

Receipt of a convocation means that the supplier has checked the conformance of its equipment and documentation and acknowledges that he may be asked to prove it to Jeumont Electric's inspector.

All previous intervention points and inspections shall be justified and the corresponding documentation established. Any deviation previously detected by the Supplier should have been dealt with.

When the Jeumont Electric inspector is present for a witness or a hold point, he signs the Quality Plan next to the operation.

If Jeumont Electric or its customer fails to respond to a notification, the number of the corresponding notification document is mentioned by the supplier in the box reserved for the customer's signature.

If a hold point is not taken into account by Jeumont Electric or its customer the number of the corresponding authorization document is written in the box reserved for the customer's signature.

The supplier may only continue the operations when all the entities involved have cleared the hold point by means of a written document, issued on the spot by the inspector or sent by mail.

Appendix 3: Conformance File

Some orders are sent with a Jeumont Electric Procurement Quality Plan stating the requirements in terms of inspection, submission of documents and notification points.

The supplier may:

- Either use the Jeumont Electric Quality Plan
- Or use its own, provided that it takes into account all the requirements of the Jeumont Electric Quality Plan.

NB: The supplier remains responsible for defining the inspections and tests, as well as the necessary operations to ensure the conformance of the item.

The notification points shall be signed as above.

Document transmittal is notified by an “**R**” in the “**JE**” column of the quality plan. This means that performance of the manufacturing or inspection of the quality plan must be documented by a report or a conformance certificate.

The Supplier indicates the reference of the corresponding document with its applicable revision and signs it.

The Quality Plan together with the related documents constitutes the **conformance file**. They shall be sent to Jeumont Electric's Procurement Inspection Department or handed over to the inspector during the final inspection.

The following content is recommended:

- Cover page,
- Table of Contents (if there are more than 10 pages),
- Completed quality plan (Jeumont Electric's or the supplier's if previously approved by Jeumont Electric and/or its Clients)
- Non conformance reports or sheets according to § 6.4
- Reports and certificates required by the Quality Plan
- Supplier's acceptance certificate,
- Certificate of Conformance to the JE purchase order (see specimen document on next page)

The pagination of this file and its identification shall ensure traceability with the supply and the Jeumont Electric purchase order.

It must contain the revision indexes of the documents used, and signatures of authorized individuals.

One file per item of equipment supplied is requested, unless otherwise specified in the purchase order.

Specimen certificate of conformance to the order

| | | | | |
|---|--|---|---|--|
| Fournisseur <i>Supplier</i> | | N° du certificat <i>Certificate number</i> | | |
| | | Usine <i>Workshop</i> | | |
| Client <i>Customer</i> | | CERTIFICAT DE CONFORMITE AUX STIPULATIONS D'UNE COMMANDE ETABLI PAR L'INDUSTRIEL FOURNISSEUR CONFORMEMENT A LA NORME NF L 00 - 015 C CERTIFICATE OF CONFORMITY TO THE STIPULATIONS OF AN ORDER ESTABLISHED BY THE INDUSTRIAL SUPPLIER ACCORDING TO STANDARD NFL 00 - 015 C | | |
| N° de la Commande ou du Marché <i>Order or Contract number</i> | | | | |
| N° et date du bordereau de livraison <i>Number and date of delivery note</i> | | | | |
| Désignation <i>Designation</i> | Référence ou type <i>Reference or type</i> | Quantité <i>Quantity</i> | N° de série ou de lot <i>Serial or batch number</i> | Autres renseignements <i>Further information</i> |
| <p>Nous déclarons que la fourniture citée est conforme aux exigences du contrat et que, après vérifications et essais, elle répond en tous points, aux exigences spécifiées, aux normes et règlements applicables sauf exceptions, réserves ou dérogations énumérées dans la présente déclaration de conformité :</p> <p><i>We hereby declare, barring exceptions, reservations or exemptions listed in this statement of conformity, that the listed supplies comply with the contracts requirements and that, after completion of testing and verification, they completely satisfy all specified requirements, and applicable standards and regulations:</i></p> | | | | |
| Renseignements particuliers aux fournitures livrées : Indiquer notamment la référence des dérogations accordées <i>Information particular to the supplies delivered Specify the reference granted</i> | | | | |
| Visas - Signatures - | | | | |
| Responsable Qualité Fournisseur <i>Supplier Quality manager</i> | | | | |
| Nom et fonction <i>Name and function</i> | | | | |
| Date <i>Date</i> | | | | |
| Visa <i>Signature</i> | | | | |

APPENDIX 4 Handling nonconformities

1 Non Conformities detected by the supplier

As soon as a non-conformity is detected and prior to delivering the product, the supplier must send Jeumont Electric a non-conformance notice to controle@jeumontelectric.com in accordance with § 6.9

The non conformance report sent by mail or fax shall include at least the following information:

- 1 Identification of the equipment and of the document to which a non conformity has been established.
- 2 Clear description of the non conformity and comparison with specified criteria,
- 3 Proposed solution supported by documented evidence.

If necessary:

- 4 Reference to attached documents (notes, drawings, photos...) illustrating the description of the non conformity and/or proposed solution.

2 Non-conformities detected by Jeumont Electric

The Jeumont Electric inspector will indicate his remarks and the deviations using the inspection report form IQE 97. The supplier must implement the decisions indicated in this document.

APPENDIX 5 Dispatch to other places than the Jeumont Electric factory

Some procurements are directly delivered by our suppliers to a place other than Jeumont:

- partner company assembling part of the equipment intended for our Customer;
- Customer's warehouse;
- erection or utilization site

In this case, the following will be indicated by the purchaser on the PO:

"Shipping Procedure: you must send us a shipment authorization request in accordance with procedure PQE 201 to our Procurement Inspection Department, before sending any equipment".

These procurements do not enter the "incoming goods" section of Jeumont. It is therefore necessary to control the shipping of this equipment and to ensure its documented acceptance.

The supplier must request this authorization at controle@jeumontelectric.com prior to shipping any equipment. It may only ship the equipment once it has received a formal authorization from the inspection department of Jeumont Electric, which also authorizes payment of the supplier's invoice.

See form IQE 07 on next page

If the inspector is present at the final inspection operations, he completes the dispatch authorization notice.

| | | | | | | | | |
|--|--|--|--|--|-----------------------|--|----------------------|--|
| IDENTIFICATION | | Commande JE:/ JE Order 1 | | FABRICANT Manufacturer: 2 | | Identification fabricant: 3 <i>Manufacturer identification</i> | | |
| PRESCRIPTIONS GENERALES GENERAL PRESCRIPTIONS 4 | | Prescriptions générales aux fournisseurs: PQE 201 4 <i>General prescriptions to suppliers</i> | | 4 | | 4 | | |
| N° - | TYPE DE CONTRÔLE TYPE OF INSPECTION 5 | Conditions particulières Specific conditions 6 | Référence Reference 7 | IND* REV 8 | JE 9 | 1 9 | 2 9 | PV et rapports * Reports 10 |
| 1 | | | | | | | | |
| 1.a | | | | | | | | |
| 1b | | | | | | | | |
| 1c | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

*** : A remplir par le fournisseur To be filled by the supplier**

Le délai de convocation est de 10 jours *Notification notice is 10 days*

11

| | | | | | | | |
|---|--|---|--|--|----------------------------|-----------|-----------|
| Points de notification : <i>Notification points</i> | C: Notification (W Witness) | A: Point d'arrêt H Hold point | R: Document provision <i>Document transmission</i> | Intervenants : Intervening parties | JE Jeumont Electric | 1: | 2: |
|---|--|---|--|--|----------------------------|-----------|-----------|

KEY

- 1** State the reference of the order placed by JEUMONT ELECTRIC with the order item number, if there are several items
- 2** State your company name
- 3** State the tracking or internal order number. This identifier generally ensures traceability with documentation.
- 4** This line states the general requirements applicable to the order. In addition to the reference of this EQP, standards or codes may be specified.
- 5** This column indicates the equipment or subset concerned and the nature of the operations
- 6** This column specifies the particular conditions: standards regarding the operation in question, sampling or batching plan, details of the inspection, nature of the document requested
- 7** This column refers to the Jeumont Electric specification or drawing related to the order
- 8** This column must be completed with the revision index applicable to the document per column ⑦
- 9** These three columns “JE” for Jeumont Electric, “1” and “2” for the parties identified at the bottom of the page contain the notification points for these entities. It is also these boxes that should be stamped by the inspectors.
If Jeumont Electric declines a notification point, indicate the reference of the document sent by Jeumont Electric (see § 6.2.1 of this document)
- 10** Complete with the references of the reports and certificates issued, as well as of any nonconformance reports.
- 11** This line gives the nature of the notification points stated in 9, and the names of the inspectors.
For JE, the correspondent is stated in appendix 2.
For “1” and “2”, the name of the organization or customer is given and the notification addresses are communicated

Pay attention to the following items. If just one item applies, it does not mean that the product is S/CI, but it should alert you to this possibility, especially when more items are found applicable.

Before delivery

- Unusually low price
- unusual discussions or negotiations about test results
- Unusually short delivery times in comparison to those of competitors

On delivery

- Name plates, markings or labels modified or photocopied
- Name plates, markings or labels in an unusual position
- Incomplete data on name plates, markings or labels
- Pitting or corrosion of metal parts
- Heat marks that appear to have been ground, and parts found to have different markings
- Configuration that does not match other items from the same supplier
- Different configuration to the one indicated in the supplier's documentation or drawings
- Difference between the supplier's name shown on the part and on the packaging
- Name plate without the manufacturer's standard mark, stamp or logo
- Name plate irregularly stamped or with gaps
- Evident signs of repair (welding for example)
- unusual inner or outer packaging of product
- Packaging non-compliant with requirements or with the supplier's standard specifications
- Deviations between the characteristics indicated at the time of order placement and those indicated on delivery
- Name, logo, serial number or date of production modified
- Different fasteners and locking devices used in the same assembly or from one assembly to another
- Differences in colour, aspect or layout of the components

During use (tests)

- Performance different from usual
- Irregular and poor quality signals
- Noise and vibrations
- Strength of assemblies

All other evidence of attempted counterfeit

Pay attention to the following items. If just one item applies, it does not mean that the product is S/CI, but it should alert you to this possibility, especially when more items are found applicable.

- Evident use of corrector fluid or tape, abnormal character size
- Document not signed when it should be
- Document excessively pale or illegible
- Missing data
- Name/function of document approver missing or illegible
- Name of the individual who approved the document does not correspond to the signature
- Technical data does not correspond to the requirements of codes and standards
- Test and measurement results identical for several products whereas there should be some differences
- Lack of clear traceability
- Documentation not sent as requested in the PO, or sent in an unusual format
- Corrections not identified or dated correctly
- Handwritten indications on a document in which the data is pre-printed or printed.
- Sudden breaks in texts and pagination different to what was expected.
- Product configuration does not match the product documentation.
- Breaks in curves or lines indicating that the data has been deleted or rearranged by cutting and pasting.
- Data on the same line with height discrepancies
- Missing or incomplete documentation.